

Individual application

PLEASE COMPLETE THE FORM IN BLOCK CAPITALS

1. Select account type

Standard Account

If you like the idea of buying and holding a position for somewhat longer periods of time, the Standard Account is for you.

Active Account

Our Active Account is designed with the day trader in mind. You will have access to multiple powerful trading platforms with ultra-low latency for fast execution with real-time market-data.

Enhanced Account

Professional and proprietary groups need more than the fastest technology and low clearing and execution costs—they need ready access to firm capital when the market becomes fertile for trading. Enhanced accounts offer additional proprietary leverage when it's needed most.

FX Account

Our FX brokerage account is designed with the FX trader in mind. You will have access to MT5, one of the most powerful trading platforms with ultra-low latency for fast execution with real-time market-data.

What platform would you like to use?

<https://www.cmelitegroup.com/ky/platforms/compare-platforms>

Standard Account users only	Active Account users only	FX Trading
CAP it ALL	Sterling Trader Pro	MT5
	DAS Trader Pro	MT4
	Takion	

2. Personal profile

Full name (this should match what is printed on the ID you will provide to ensure quick processing)

First name	Other names		
Last name			
Date of birth	Sex:	Male	Female
Marital status:	Single	Married	Divorced
Number of dependents			
City of birth		Country of birth	
Country of citizenship			

3. Contact information

Residential address (this should match what is printed on the address document you will provide to ensure quick processing)

Apt/House number
Street name
City/Town
State/County/Provence/Region
Postal code

Country
Primary phone contact
Email address

4. Trader profile

Trading experience

Beginner: this is my first time trading

Basic: I have traded before in the past

Advanced: I have a few trading strategies in mind

Experienced: extensive – I know exactly what I'm doing!

Knowledge level

0-1 years 1-5 years 5-10 years 10+ years

Which of the following products interest you?

Equity	Futures	Options	Forex
Fixed income	CFDs	Cryptocurrency	

5. Non-professional market data questionnaire

	Yes	No
1. Is the subscriber a natural person who will receive market data solely for his/her personal, non-business use?		
2. Are you registered/qualified with any state, federal or international securities agency or self-regulatory body including Securities and Exchange Commission, Commodities Future Trading Commission, any securities/exchange association, or any commodities/futures contract market/association?		
3. Are you engaged as an "investment advisor" as that term is defined in Section 202(a)(11) of the Investment Advisors Act of 1940 (whether or not registered or qualified under that Act)?		
4. Are you engaged in a financial services business or employed as a financial adviser or acting on behalf of an institution that engages in brokerage, banking, investment or financial activities?		
5. Are you employed by a bank or other organization exempt from registration under federal or state securities laws to perform functions that would require registration or qualification if such functions were performed for an organization not so exempt?		
6. Is your trading activity related to a corporation, partnership, or similar organization whereby their primary purpose is to trade for the benefit of the organization?		
7. Are you using data for a business, professional or other commercial purpose not compatible with non-professional status?		
8. Are you in contract for, receives, or uses information for the purpose of Private Use on behalf of any other person or any corporation, partnership, Limited Liability Company, trust, association or other form of entity?		
9. Are you receiving office space and equipment or other benefits in exchange for your trading or work as a financial consultant to any person, firm or business entity?		

10. Do you use the capital of any other individual or entity in the conduct of your trading?
11. Do you list yourself as employed by a financial services firm on LinkedIn, Facebook or Twitter?
12. If you are outside of the country, do you perform any functions that are similar to those that require an individual to register with the SEC, the Commodities Futures Trading Commission, any securities exchange or association, or any commodities or futures contract market or association?

6. Financial profile

Please select your employment status and complete the applicable section

Employed

Occupation

Job title

Name of employer

Address of employer

Country

Type of business/Industry of employer

Unemployed/Retired

Last job title/Position held

Name of last employer

Address of last employer

Country

Type of business/Industry of last employer

Self employed/Business owner

Company name

Address of company

Country

Type of business/Industry

Registration number

Incorporation date

Student

Name of institution

Student status

Student ID number

Name of financial guarantor/support

Address of guarantor

Relation to guarantor

Guarantor annual income (USD)

Income

Annual income (USD)

Net worth (USD)

Primary source of funds

I have secondary sources of funds:

What will be your initial deposit in USD?

How much do you anticipate will be deposited to this account monthly in USD?

7. Tax information

I am a US citizen for tax purposes

Social Security Number

Which country are you resident in for tax purposes?

Tax ID number

8. Banking information

Name of banking institution

Address of bank

Name of bank manager (optional)

Bank contact information – phone number/email/website (optional)

Bank account number

Name(s) on account

9. Legal & Regulatory information

Yes No

1. Are you currently or have you ever been in the military?
2. Are you currently or have you ever been a member of parliament?
3. Have you ever been convicted of money laundering, fraud or any other crime of dishonesty in any jurisdiction?
4. Have you been or are you now involved in any terrorist activities or group?

The following questions relate to your knowledge of persons falling within the definition of Politically Exposed Person (PEP). A PEP is: (a) An individual such as the head of state or government, senior politician, senior government, judicial or military officials, senior executives of state-owned corporations and important political party officials who are or have been entrusted with prominent functions – (i) By a foreign country; or (ii) Domestically for Trinidad and Tobago; (b) Persons who are or have been entrusted with a prominent function by an international organization which refers to members of senior management such as directors and members of the board or equivalent functions; (c) An immediate family member of a person referred to in paragraph (a) such as the spouse, parent, siblings, children and children of the spouse of that person; and (d) Any individual publicly known or actually known to the relevant financial institution to be a close personal or professional associate of the persons referred to in paragraphs (a) and (b).

Do you know any PEP who is or was entrusted with important public functions in foreign country or locally, such as:

Yes No

- (a) A current or former senior official of a foreign government, whether elected or not?
- (b) A current or former senior official of government?
- (c) A senior officer of the judiciary of any country?
- (d) A senior military official, whether locally or internationally
- (e) An immediate family member of a person mentioned in paragraphs (a) to (e), meaning the spouse, parents, siblings or children of that person and the parents, siblings and additional children of the persons spouse?
- (f) Any individual publicly known or actually known to be a close or professional associate of a person mentioned in paragraphs (a) to (e)?

I (client's full name)

declare and confirm that the information given by me in this application for financial services(s) is true and correct and further confirm and declare that I am not engaged in money laundering, terrorist financing or any other crimes or illicit activities. I am aware that I am required by the account agreement to deposit only good items to my accounts and to refrain from using the account for money laundering, terrorist financing or for furthering criminal purposes or conducts. I have not assumed the identity of any other person and the property being dealt with is beneficially owned by me and no one else.

I hereby give consent to Capital Markets Elite Group Limited to disclose this application, any information contained in it, other related confidential information of mine and current and future deposits and other transactions of mine to law enforcement agencies, regulatory authorities and other institutions in accordance with the law.

I promise to abide by the terms of the account(s) agreement and I consent to all enquiries Capital Markets Elite Group Limited may make about me and to the retention of this application and all documents tendered by me in support of this application.

10. Agreements and disclaimers

General business terms and agreements

Client agreement

I acknowledge that I have received, read, understand and agree to be bound by the terms & conditions as set forth in the Client agreement as currently in effect and as amended from time to time.

Margin agreement

I acknowledge that I have received, read, understand and agree to be bound by the terms and conditions as set forth in this Margin agreement as currently in effect and as amended from time to time.

Day trading disclosure statement

I acknowledge that I have received, read, understand the information presented in the above disclosure.

Disclaimer of warranty – third party software providers

I acknowledge that I have received, read, understand the information presented in the above disclaimer.

Certification as to U.S. Person Status

(CFR Part 240 Rule 15a-6 Compliance)

PARTIES

1. **Capital Markets Elite Group (Cayman) Limited**, License Number 1671530 a company incorporated under the laws of the Cayman Islands with its registered address at 89 Nexus Way, Camana Bay, Grand Cayman, Cayman Islands KY1-9009 ("**Capital Markets Elite Group**"); and
2. The undersigned potential customer (the "**Potential Customer**"). WHEREAS:
 - i. Capital Markets Elite Group's services involve providing brokerage and dealing services in connection with investments including shares and share options, debentures, warrants, futures, exchange traded funds, units in collective investment schemes, contracts for differences and forex spot contracts.
 - ii. Capital Markets Elite Group is authorized and regulated by the Cayman Islands Monetary Authority in accordance with Section 6(2) of the Securities Investment Business Law (2015 Revision) to carry on the business of a Broker Dealer to offer and provide its services to customers and counterparties.
 - iii. Capital Markets Elite Group can only accept a U.S. Person as a customer if that U.S. Person has not been solicited either directly or indirectly through accessing our websites or otherwise, under the 'unsolicited' exemption of Rule 15a-6 of the U.S. Securities and Exchange Commission.
 - iv. The Potential Customer wishes to engage some or all the services of Capital Market Elite Group described in 2.i above.

Client's full name

The Potential Customer DOES HEREBY CERTIFY that:

- a. The Potential Customer was first made aware of Capital Markets Elite Group by a third-party source, not a Capital Markets Elite Group website or a contact from Capital Markets Elite Group;
- b. The Potential Customer has not been solicited either directly or indirectly through accessing these websites or otherwise by Capital Markets Elite Group;
- c. The Potential Customer has approached Capital Markets Elite Group on the Potential Customer's own volition.; and
- d. The Potential Customer understands that if the Potential Customer establishes a relationship with Capital Markets Elite Group the Potential Customer will NOT be protected by U.S. laws, regulations and supervisory structures applicable to broker-dealers registered in the U.S., and the Potential Customer does not expect such protections to apply.

Date

I acknowledge that I have received, read, understand and agree to be bound by the terms and conditions as set forth in this "Certification as to U.S. Person Status" as currently in effect and as amended from time to time.

11. Document submission

Please ensure that your document submissions are well lit, clear and readable. Your documents should not be defaced or obscured. **Note, your proof of identification and proof of address cannot be the same document.**

Photo identification with signature

Proof of address (no more than 3 months old)

Guarantor identification (no more than 6 months old)

Guarantor approval letter

Client signature

Date